



AGENDA ITEM: 6

AUDIT AND GOVERNANCE COMMITTEE:

29 January 2013

Report of: Borough Treasurer

Relevant Managing Director: Managing Director (People and Places)

**Contact for further information: Mr M.Coysh (Extn. 2603)
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SUBJECT: INTERNAL AUDIT ACTIVITY APRIL TO DECEMBER 2012

Wards affected: Borough wide

1.0 PURPOSE OF THE REPORT

1.1 To report progress against the 2012/13 Audit Plan to date.

2.0 RECOMMENDATION

2.1 That Members note progress in the year to date and direct any questions to the Internal Audit Manager who will be present at the meeting.

3.0 BACKGROUND

3.1 This Committee approved the 2012/13 Internal Audit Plan and resolved that a written report be brought back quarterly to enable progress against it to be monitored.

4.0 INTERNAL AUDIT ACTIVITY TO DATE

4.1 A report summarising progress in the first three quarters is attached and the Internal Audit Manager will be present to answer questions in relation to it.

4.2 This work will inform the overall opinion relating to the system of internal control in the Internal Audit Annual Report.

4.3 There are no significant issues arising from Internal Audit's work in the period covered by this report that would merit being drawn specifically to the attention of

Audit and Governance Committee in advance of my annual report. The Data Management audit has helped to identify a number of areas for development and these issues are covered in the Information governance / Data Protection report elsewhere on the agenda.

5.0 RESOURCE ISSUES

- 5.1 Since the last report approval has been given to fill a vacancy in the section on a temporary basis. While the reduced resources available in the section early in the year have had an impact on the work of the section to date, satisfactory progress is now being made and it is anticipated that the plan will be substantially complete by the end of the financial year.

6.0 SUSTAINABILITY IMPLICATIONS/COMMUNITY STRATEGY

- 6.1 There are no significant sustainability impacts associated with this report and, in particular, no significant impact on crime and disorder. The report has no significant links with the Sustainable Community Strategy.

7.0 FINANCIAL AND RESOURCE IMPLICATIONS

- 7.1 All the activity referred to in this report is covered by existing budget provisions.

8.0 RISK ASSESSMENT

- 8.1 This report summarises progress against Internal Audit's work programme for the year. Internal Audit activity is a key source of assurance to this Committee that risks to the Council's overall objectives are being properly managed.

Background Documents

There are no background documents (as defined in Section 100D(5) of the Local Government Act 1972) to this Report.

Equality Impact Assessment

The decision does not have any direct impact on members of the public, employees, elected members and / or stakeholders. Therefore no Equality Impact Assessment is required.

Appendices

1. Internal Audit third quarter update report.